Complaints guidelines

If you have a complaint or concern about the service you have received from the dentist or any of the staff working in this practice, please let us know. We operate a practice complaints procedure for dealing with complaints. Our complaints system adheres to national criteria.

How to complain

- Patients may raise concerns to any member of staff, verbally or in writing.
- They will be directed to *Alina Tanasoiu*, or Complaints Lead to hear them, or to address a written concern.
- In all cases an acknowledgement will be raised in writing and provided to the complainant within three working days, together with a copy of the Complaints Procedure if this has not been obtained before.
- The reply will give an estimate of the time required to investigate the complaint and reply again, which would normally be within ten working days and no later than twenty days.
- A written response, including the result of investigation, will be issued to the Patient at that time. If this is not possible, the Patient will be informed in writing why, and a new time frame issued.
- Written documentation is retained.
- Patients are informed of the address of the relevant commissioning body, PALS, Health
 Ombudsman and the GDC should they wish further information or address.
- All complaints are recorded on a complaints record sheet. Regular review of complaints records will assist the Management team in identifying any trends.
- All complaints will be acknowledged in writing within 3 working days. Complainants will be
- replied to within 10 working days of the complaint arising or we will give an estimate of the time required to investigate the complaint and the complainant will be given the opportunity to agree an alternative timescale if needed.
- The response will substantiate or not substantiate all points made and give a detailed outcome response with all actions to be taken to resolve issues that have been raised.

Investigating the Complaint

- Investigations and the related results will be recorded on the complaints form, including additional sheets, if required.
- Complaints will be investigated in the first instance by Practice Manager and/or Complaints
 Lead, and referred up the chain of management as necessary to reach a satisfactory
 outcome for the complainant. The Registered Manager will become aware of the matters
 dealt with by other persons by way of the regular review of the file.
- The Registered Manager will take corrective action if it is felt during this review that complaints are not being appropriately referred up the line of management.

• The person investigating the complaint should gather the information or evidence necessary to fully understand the complainant's concerns. This may include reviewing additional records or speaking to any witnesses.

Recording the Complaint

- All employees are warned that written complaints recording rules must be complied with, and those records held where they are freely available to supervisors and managers. Any attempt to conceal a complaint may give rise to formal disciplinary action.
- The complainant will be requested to examine the written records of the complaint and sign to indicate agreement with the outcome.
- Records must be kept of all complaints, including those for which no actions were considered necessary after a full and fair investigation.
- In the event of a continued disagreement which cannot be resolved internally, the
 complainant will be advised to approach an appropriate external authority, such as the CQC,
 funding authorities such as Social Services or NHS, an independent advocacy service or the
 Local Government and Social Care Ombudsman.
- The completed complaints form will then be handed to the Registered Manager nominated Complaints Lead for permanent filing, in the complaints file.
- The Management Meeting will periodically (recommended every three months) review all
 complaints and significant event analysis carried out since the previous review, in order to
 identify trends and matters which may have appeared to be relatively minor at the time, but
 which indicate a deeper problem.
- The services action plan should be updated to include all actions to be taken to resolve any requirements or recommendations made following any investigation.
- The records are kept and provided to CQC at any time that they may ask for them.

Complaints Analysis - Following a full and fair investigation

- Alina Tanasoiuor Complaints Lead will conduct a significant event analysis (SEA) for each complaint received.
- Findings from the SEA will be presented at a policy review meeting to make recommendations to improve services.
- A full report of the SEA findings along with recommendations to prevent recurrences will be
 presented to the Registered Provider and after full consideration of the recommendations
 agreed, relevant policy changes will be made and the team updated.
- Measures taken to improve services will be reviewed on an ongoing basis to ensure that improvements have been maintained.

Policy for handling complaints

If you have a complaint about the practice, a member of the team or treatment you have received, please do not hesitate to contact the Practice manager, Ms Alina Tanasoiu.

This can be done in person or in writing and we will deal with the matter as quickly as possible in accordance with the practice <u>complaints policy</u>.

If you are not satisfied after your complaint has been dealt with, you can contact:

Care Quality Commission (CQC)

Tel: 03000 616161

PALS Patient Advice ad Liaison Service

Tel: 020 3513 6150

General Dental Council Complaints Service

Tel: 020 8253 0800